



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE PATENT EXAMINING OPERATION

Applicants: METCALFE, et al.

Serial No: 09/964,242

Group Art Unit: 2851

Filed: September 26, 2001

Examiner: Michelle P. Nguyen

Att. Docket No.: L1063/20005

Confirmation No.: 1829

For: LIGHT SOURCE FOR OPEN PATH GAS MONITORING

AMENDMENT, PETITION AND FEE TO ADD NAME OF INVENTOR NOT NAMED IN DECLARATION-NON-PROVISIONAL APPLICATION- 37 §1.48(a)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

- 1. This amendment and petition is to correct the original incorrect naming of inventors in the declaration under 37 CFR §1.48(a) as set forth and filed on September 26, 2001.
 - 2. Addition of Inventor

Add the following previously unnamed person as an inventor of this application:

RICHARD A. HOVAN

3. Attachments

Attached are:

- (a) A statement from Richard Hovan who is being added as an inventor that the error in inventorship occurred without deceptive intent on his part. 37 CFR §1.48(a)(2);
- (b) A combined inventor declaration/power of attorney executed by each of the actual inventors as required by 37 CFR §1.63;
 - (c) an Application Data Sheet

10/31/2003 HDEMESS1 00000095 030075 09964242

01 FC:1460

130.00 DA

October 28, 2003 Application No. 09/964,242 Page - 2

(d) A written assent of the Assignee, namely, Land Instruments International, Inc., as required by 37 CFR §1.48(a)(4), including a Statement Under 37 CFR §3.73(b) and a copy of an assignment from each of the correct inventors to Land Instruments International, Inc.

4. Fee Payment (37 CFR §1.17(i)).

Attached herewith is a Fee Transmittal Sheet authorizing the payment of the fee under 37 CFR §1.17(i).

Respectfully submitted,

CAESAR, RIVISE, BERNSTEIN,

COHEN & FOKOTILOW, LTD

October 28, 2003

Please charge or credit our Account No. 03-0075 as necessary to effect entry and/or ensure consideration of this submission.

Scott M. Slomowitz

Registration No. 29,032

Customer No. 03000

(215) 567-2010

Attorneys for Applicants



Attorney Docket No. L1063/20005 Customer No. 03000 PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE PATENT EXAMINING OPERATION

Applicants

Metcalfe, et al.

Serial No.

09/964,242

Filed

September 26, 2001

For

LIGHT SOURCE FOR OPEN-PATH GAS

MONITORING

Group Art No.

2851

Examiner

Michelle P. Nguyen

STATEMENT BY INVENTOR BEING ADDED TO APPLICATION UNDER 37 CFR §1.48(a)(2)

Commissioner of Patents P. O. Box 1450 Alexandria, VA 22313-1450

I, RICHARD A. HOVAN, hereby state that the inventorship error occurred without deceptive intention on my part.

RICHARD A. HOVAN

DECLARATION (37 CFR 1.63) AND POWER OF ATTORNEY FOR UTILITY OR DESIGN APPLICATION USING AN APPLICATION DATA SHEET (37 CFR 1.76)

Title of Invention: LIGHT SOURCE FOR OPEN-PATH GAS MONITORING						
As the below named inventor(s), I/we declare that:						
This declaration	This declaration is directed to:					
□ ⊠						
I/we believe that I/we am/are the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought;						
I/ we have reviewed and understand the contents of the above-identified application, including the claims, as amended by any amendment specifically referred to above;						
known to me/us applications, ma	I/we acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me/us to be material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT International filing date of the continuation-in-part application.					
All statements made herein of my/own knowledge are true, all statements made herein on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001, and may jeopardize the validity of the application or any patent issuing thereon.						
attorney(s) or a	t the practitioners at Customer No. 03000 as my/gent(s) to prosecute the application identified about	10000				
Trademark Office	all business in the United States Patent and ce connected therewith. Please change the address for the above-identified application to 3000.	*03000* 03000 U.S. PATENT & TRADEMARK OFFICE				
Trademark Offic correspondence Customer No. 0	ce connected therewith. Please change the eaddress for the above-identified application to	03000				
Trademark Offic correspondence Customer No. 0	ce connected therewith. Please change the address for the above-identified application to 3000.	03000				
Trademark Offic correspondence Customer No. C	ce connected therewith. Please change the e address for the above-identified application to 3000. FINVENTOR(S)	03000 U.S. PATENT & TRADEMARK OFFICE				
Trademark Offic correspondence Customer No. C FULL NAME Of Inventor one:	ce connected therewith. Please change the e address for the above-identified application to 3000. FINVENTOR(S) Richard Hovan Stuart F. Metcalfe	O3000 U.S. PATENT & TRADEMARK OFFICE Citizen of: United States Date: 10/21/03 Citizen of: United Kingdom				
Trademark Offic correspondence Customer No. C FULL NAME Of Inventor one: Signature:	ce connected therewith. Please change the e address for the above-identified application to 3000. FINVENTOR(S) Richard Hovan	U.S. PATENT & TRADEMARK OFFICE Citizen of: United States Date: 10/21/03				
Trademark Offic correspondence Customer No.	ce connected therewith. Please change the e address for the above-identified application to 3000. FINVENTOR(S) Richard Hovan Stuart F. Metcalfe	O3000 U.S. PATENT & TRADEMARK OFFICE Citizen of: United States Date: 10/27/03 Citizen of: United Kingdom Date: 6 0 4 2003. Citizen of: United Kingdom				
Trademark Offic correspondence Customer No.	ce connected therewith. Please change the e address for the above-identified application to i3000. FINVENTOR(S) Richard Hovan Stuart F. Metcalfe Turk Welculfe	O3000 U.S. PATENT & TRADEMARK OFFICE Citizen of: United States Date: 10/21/03 Citizen of: United Kingdom Date: 6*0 4 2003				
Trademark Offic correspondence Customer No.	ce connected therewith. Please change the e address for the above-identified application to i3000. FINVENTOR(S) Richard Hovan Stuart F. Metcalfe Turk Welculfe	O3000 U.S. PATENT & TRADEMARK OFFICE Citizen of: United States Date: 10/21/03 Citizen of: United Kingdom Date: 6*0 & 2003. Citizen of: United Kingdom				
Trademark Offic correspondence Customer No.	ce connected therewith. Please change the e address for the above-identified application to i3000. FINVENTOR(S) Richard Hovan Stuart F. Metcalfe Turk Welculfe	O3000 U.S. PATENT & TRADEMARK OFFICE Citizen of: United States Date: 10/21/03 Citizen of: United Kingdom Date: 6 0 4 2003 Citizen of: United Kingdom Date: 6 0 4 2003				

2mag = 285 18

PTO/SB/17 (10-03)
Approved for use through 07/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

work Reduction Act of 1995, no persons are required to respon to a collection of information unless it displays a valid OMB control number

F	EE	TR	AN	SMIT	TAL
	•	for	FY	2004	

Effective 10/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27 (\$) 130.00 TOTAL AMOUNT OF PAYMENT

Complete if Known				
Application Number	09/964,242			
Filing Date	September 26, 2001			
First Named Inventor	Metcalfe			
Examiner Name	Nguyen	-		
Art Unit	2851			
Attorney Docket No.	L 1063/20005			

METH	OD OF PA	YMENT (check all tha	t apply)	FEE CALCULATION (continued)			-		
Check	Check Credit card Money Other None		3. ADDITIONAL FEES						
Order Order		<u>Large</u>	Entity	Small	Entity	•			
Deposit	Account			Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Con Doid
Account Number		03-0075		1051	130	2051		Surcharge - late filing fee or oath	Fee Paid
Deposit	Caesai	r, Rivise et al.		1052	50	2052	25	Surcharge - late provisional filing fee or	
Account Name	Cacsai	, ravise et al.		1053	420	1052	420	cover sheet	
		o: (check all that apply)			130 2,520	1053		Non-English specification For filing a request for <i>ex parte</i> reexamination	
	(s) indicated be	٠ الــا	overpayments	1804	920*	1804		Requesting publication of SIR prior to	
 		(s) or any underpayment of	• •	1001	020	1001	020	Examiner action	\vdash
Charge fee to the above-id		elow, except for the filing t account.	j fee	1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
		ALCULATION		1251	110	2251	55	Extension for reply within first month	<u> </u>
1. BASIC F		ALOGEATION		1252	420	2252	210	Extension for reply within second month	— —
Large Entity				1253	950	2253	475	Extension for reply within third month	
	Fee Fee Code (\$)	Fee Description	Fee Paid	1254	1,480	2254	740	Extension for reply within fourth month	
1001 770	2001 385	Utility filing fee	F	1255	2,010	2255	1,005	Extension for reply within fifth month	
1002 340	2002 170	Design filing fee	\vdash	1401	330	2401	165	Notice of Appeal	
1003 530	2003 265	Plant filing fee		1402	330	2402	165	Filing a brief in support of an appeal	
1004 770	2004 385	Reissue filing fee		1403	290	2403	145	Request for oral hearing	
1005 160 2005 80 Provisional filing fee		1451	1,510	1451	1,510	Petition to institute a public use proceeding			
SUBTOTAL (1) (\$)		1452	110	2452	55	Petition to revive - unavoidable			
2 EVTDA		S FOR UTILITY AN	D DEISSUE	1453	1,330	2453	665	Petition to revive - unintentional	
Z. EXIKA	LAIM FEE	Fee fro	om		1,330	2501		Utility issue fee (or reissue)	
Total Claims	Total Claims Extra Claims below Fee Paid Total Claims X = X = X		1502	480	2502		Design issue fee		
Independent			┥┣━━┪	1503	640	2503		Plant issue fee	
Claims - 3 - 3 - 4 - 1 - 3 - 1 - 1 - 1 - 1 - 1 - 1 - 1 - 1		1460	130	1460		Petitions to the Commissioner			
Large Entity Small Entity		1807	50	1807		Processing fee under 37 CFR 1.17(q)			
Fee Fee	Fee Fee	Fee Description		1806	180	1806		Submission of Information Disclosure Stmt	
Code (\$)	Code (\$)	G Claims in excess of 20	·	8021	40	8021	1 40	Recording each patent assignment per property (times number of properties)	
1202 18 1201 86	2202 9 2201 43			1809	770	2809	385	Filing a submission after final rejection (37 CFR 1.129(a))	
1203 290	2203 145	5 Multiple dependent cla	im, if not paid	1810	770	2810	385	For each additional invention to be	
1204 86 2204 43 ** Reissue independent claims over original patent		1801	770	2801	205	examined (37 CFR 1.129(b))			
1205 18	2205 9	•	vcess of 20	1801	900	1802	385 900	Request for Continued Examination (RCE) Request for expedited examination	
1200 10	2205 9	and over original pat						of a design application Payment 37CFR 1.17(i)	
SUBTOTAL (2) (\$)			Other fee (specify) Fee Payment 37CFR 1.17(i) 130.00						
			s, see above	*Redu	ced by	Basic I	Filing F	ee Paid SUBTOTAL (3) (\$) 130.0	00

SUBMITTED BY (Complete (if applicable)) Registration No. Name (Print/Type) Scott M Ślomowitz, Esg Telephone 215-567-2010 39,032 10/28/2003 Signature

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



Attorney Docket No. L1063/20005 Customer No. 03000 PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE PATENT EXAMINING OPERATION

Applicants

Metcalfe, et al.

Serial No.

09/964,242

Filed

September 26, 2001

For

LIGHT SOURCE FOR OPEN-PATH GAS

MONITORING

Group Art No.

2851

Examiner

Michelle P. Nguyen

ASSENT OF ASSIGNEE TO ADDITION OF INVENTOR

Commissioner of Patents P. O. Box 1450 Alexandria, VA 22313-1450

Name of Assignee:

Land Instruments International, Inc.

Address of Assignee:

10 Friends Lane Newtown, Pennsylvania 18940

Assignee hereby assents to the addition of Richard A. Hovan as an inventor.

PERSON AUTHORIZED TO SIGN

I, William Furan, President for the Assignee, aver that I am empowered to sign this Assent on behalf of the Assignee.

A Statement Under 37 CFR §3.73(b) is attached.

LAND INSTRUMENTS INTERNATIONAL, INC.

OCTOBER 21, 2003

William Furan, President

-01an W.+ 10-21-03



Attorney Docket No. L1063/20005 Customer No. 03000 PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE PATENT EXAMINING OPERATION

Applicants

Metcalfe, et al.

Serial No.

09/964,242

Filed

September 26, 2001

For

LIGHT SOURCE FOR OPEN-PATH GAS

MONITORING

Group Art No.

2851

Examiner

Michelle P. Nguyen

STATEMENT UNDER 37 CFR §3.73(b)

Commissioner of Patents P. O. Box 1450 Alexandria, VA 22313-1450

The Assignee of the entire right, title and interest hereby seeks to take action in the Patent and Trademark Office in this matter.

IDENTIFICATION OF ASSIGNEE

Land Instruments International, Inc. 10 Friends Lane Newtown, Pennsylvania 18940

PERSON AUTHORIZED TO SIGN

I, William Furan, President for the Assignee, aver that I am empowered to sign this statement on behalf of the Assignee.

BASIS OF ASSIGNEE'S INTEREST

All right, title and interest in and to Application Serial No. 09/964,242 have been transferred to the Assignee by the inventors, namely, Stuart F. Metcalfe, Derek D. Stuart and Richard A. Hovan, in written assignments (copies of which are attached as Exhibit A) which are being filed concurrently herewith for recordation in the U.S. Patent & Trademark Office.

DECLARATIONS

I, William Furan, hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful false statements, and the like so made, are punishable by fine or imprisonment, or both, under Section 1001, Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of any patent issuing thereon.

By_

LAND INSTRUMENTS INTERNATIONAL, INC.

OCTOBER 21, 2003

William Furan, President

ASSIGNMENT OF INVENTION

WHEREAS, I, Richard A. Hovan, residing at 70 Old Dublin Pike, Apt. H-17, Doylestown, PA, 18901, along with Stuart F. Metcalfe and Derek D. Stuart, have made a certain new and useful invention in the LIGHT SOURCE FOR OPEN-PATH GAS MONITORING (hereinafter "invention"), and have filed a U.S. Patent Application in the United States Patent and Trademark Office on September 26, 2001 and assigned Application Serial No. 09/964,242;

WHEREAS, I, Richard A. Hovan am an employee of LAND INSTRUMENTS INTERNATIONAL, INC., a corporation organized and existing under the laws of the Commonwealth of Pennsylvania and having an office and place of business at 10 Friends Lane, Newtown, PA 18940;

WHEREAS, I, Richard A. Hovan, am under an obligation to assign all rights related to the said invention to LAND INSTRUMENTS INTERNATIONAL INC.;

WHEREAS, LAND INSTRUMENTS INTERNATIONAL, INC. is desirous of acquiring my entire right, title and interest in and to the invention and all improvements thereon which may be made, conceived or acquired by me, pursuant to my obligation to LAND INSTRUMENTS INTERNATIONAL, INC., in and throughout the United States and its territories and in and to said applications for Letters Patent and in and to any and all Letters Patent of the United States which have been granted or may be granted on said invention or any part thereof, or any improvements thereon.

NOW, THEREFORE, IN CONSIDERATION of the sum of One Dollar (\$1.00), and other good and valuable consideration, the receipt of which is hereby acknowledged, I, Richard A. Hovan, by these presents do sell, assign and transfer unto the said LAND INSTRUMENTS INTERNATIONAL, INC., my entire right, title and interest in and throughout the United States and its territories in and to said invention and any improvements thereon which may be made, conceived or acquired by me pursuant to my employment by LAND INSTRUMENTS INTERNATIONAL, INC., and for one year thereafter, in and to said application for Letters Patent and any and all Letters Patent and extensions thereof of the United States which have been or may be granted on said invention or any part thereof, or any improvements thereon or on said application, or any divisional, continuing, renewal, reissue, or other application and all international priority rights associated therewith, based in whole or in part thereon, or based upon said invention, or any improvements thereon;

TO BE HELD AND ENJOYED by the said LAND INSTRUMENTS INTERNATIONAL, INC., and its legal representatives and assigns to the full ends of the terms for which said Letters Patent, or any of them, have been granted or may be granted as fully and entirely as the same would have been held and enjoyed by me and LAND INSTRUMENTS INTERNATIONAL, INC. had no sale and assignment of said interest been made; and I and LAND INSTRUMENTS INTERNATIONAL, INC. do hereby authorize and request the Commissioner for Patents and Trademarks to issue any and all Letters Patent which may be granted upon the said invention above referred to, or any of them, or upon said invention or any part thereof, or upon any improvements thereon which may be made, conceived or acquired by me pursuant to my employment by LAND INSTRUMENTS

INTERNATIONAL, INC. and for one year thereafter, and I hereby agree for myself, my heirs, executors and administrators, to execute without further consideration, any further legal documents and any further assignments and any releases, reissues, renewals or other applications for Letters Patent that may be deemed necessary by the LAND INSTRUMENTS INTERNATIONAL, INC. herein named, fully to secure to the said LAND INSTRUMENTS INTERNATIONAL, INC. its interest as aforesaid in and to said invention or any part thereof or any improvements thereon, and in and to said several Letters Patent, or any of them.

I do hereby covenant for myself and my legal representatives, and agree with LAND INSTRUMENTS INTERNATIONAL, INC., and its legal representatives, that I have granted no license to make or sell the said invention, that prior to the execution of this deed my entire right, title and interest in the said invention has not been encumbered, that I then had good right and title in and to the invention and that I have not executed and will not execute any instrument in conflict therewith.

IN WITNESS WHEREOF, I have hereunto affixed my hand and seal this

19 day of Sept, 2003.

RICHARD A. HOVAN

COMMONWEALTH OF PENNSYLVANIA

: SS:

COUNTY OF BUCKS

Before me personally appeared said Richard A. Hovan and acknowledged the foregoing instrument to be his free act and deed, this $\frac{19}{2}$ day of $\frac{5201}{2000}$, 2003.

Notarial Seal Michael DeBonis, Notary Public Newtown Twp., Bucks County My Commission Expires Apr. 23, 2006

Member, Pennsylvania Association of Notaries

ASSIGNMENT OF INVENTION

WHEREAS, we, Stuart F. Metcalfe and Derek D. Stuart, residing at 16 Woodland Drive, Sheffield S12 3HW, South Yorkshire, United Kingdom and 5 Springbank, Unstone, Dronfield S18 4AD, Derbyshire, United Kingdom, respectively, along with Richard A. Hovan, have made a certain new and useful invention in the LIGHT SOURCE FOR OPEN-PATH GAS MONITORING (hereinafter "invention"), and have filed a U.S. Patent Application in the United States Patent and Trademark Office on September 26, 2001 and assigned Application Serial No. 09/964,242;

WHEREAS, we, Stuart F. Metcalfe and Derek D. Stuart are employees of LAND INSTRUMENTS INTERNATIONAL, INC., a corporation organized and existing under the laws of the Commonwealth of Pennsylvania and having an office and place of business at 10 Friends Lane, Newtown, PA 18940;

WHEREAS, we, Stuart F. Metcalfe and Derek D. Stuart, are under an obligation to assign all rights related to the said invention to LAND INSTRUMENTS INTERNATIONAL INC.;

WHEREAS, LAND INSTRUMENTS INTERNATIONAL, INC. is desirous of acquiring our entire right, title and interest in and to the invention and all improvements thereon which may be made, conceived or acquired by us, pursuant to our obligation to LAND INSTRUMENTS INTERNATIONAL, INC., in and throughout the United States and its territories and in and to said applications for Letters Patent and in and to any and all Letters Patent of the United States which have been granted or may be granted on said invention or any part thereof, or any improvements thereon.

NOW, THEREFORE, IN CONSIDERATION of the sum of One Dollar (\$1.00), and other good and valuable consideration, the receipt of which is hereby acknowledged, we, Stuart F. Metcalfe and Derek D. Stuart, by these presents do sell, assign and transfer unto the said LAND INSTRUMENTS INTERNATIONAL, INC., our entire right, title and interest in and throughout the United States and its territories in and to said invention and any improvements thereon which may be made, conceived or acquired by us pursuant to our employment by LAND INSTRUMENTS INTERNATIONAL, INC., and for one year thereafter, in and to said application for Letters Patent and any and all Letters Patent and extensions thereof of the United States which have been or may be granted on said invention or any part thereof, or any improvements thereon or on said application, or any divisional, continuing, renewal, reissue, or other application and all international priority rights associated therewith, based in whole or in part thereon, or based upon said invention, or any improvements thereon;

TO BE HELD AND ENJOYED by the said LAND INSTRUMENTS INTERNATIONAL, INC.. and its legal representatives and assigns to the full ends of the terms for which said Letters Patent, or any of them, have been granted or may be granted as fully and entirely as the same would have been held and enjoyed by us and LAND INSTRUMENTS INTERNATIONAL, INC. had no sale and assignment of said interest been made; and we and LAND INSTRUMENTS INTERNATIONAL, INC. do hereby authorize and request the Commissioner for Patents and Trademarks to issue any and all Letters Patent which may be granted upon the said invention above referred to, or any of them, or upon said invention or any part thereof, or upon any improvements thereon which may be made, conceived or acquired by us pursuant to our employment by LAND

INSTRUMENTS INTERNATIONAL, INC. and for one year thereafter, and we hereby agree for ourselves, our heirs, executors and administrators, to execute without further consideration, any further legal documents and any further assignments and any releases, reissues, renewals or other applications for Letters Patent that may be deemed necessary by the LAND INSTRUMENTS INTERNATIONAL, INC. herein named, fully to secure to the said LAND INSTRUMENTS INTERNATIONAL, INC. its interest as aforesaid in and to said invention or any part thereof or any improvements thereon, and in and to said several Letters Patent, or any of them.

We do hereby covenant for ourselves and our legal representatives, and agree with LAND INSTRUMENTS INTERNATIONAL, INC., and its legal representatives, that we have granted no license to make or sell the said invention, that prior to the execution of this deed our entire right, title and interest in the said invention has not been encumbered, that we then had good right and title in and to the invention and that we have not executed and will not execute any instrument in conflict therewith.

IN WITNESS WHEREOF, I, STUART F. METCALFE, have hereunto affixed my hand and seal this _____ day of _______, 2003 and further declares under penalty of perjury under the laws of the United States of America that the foregoing is true and 'correct.

STUART F. METCALFE

IN WITNESS WHEREOF, I, DEREK D. STUART, have hereunto affixed my han
and seal this day of, 2003 and further declares under penalty of
perjury under the laws of the United States of America that the foregoing is true an
' correct.

DD 96 (SEAL)
DEREK D. STUART